



ATTORNEY DOCKET NO.: CIS00-3487

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John G. Waclawsky, Balasubramanian Kalyanasundaram,
and Mahendran Velauthapillai

Serial No.: 09/811,969

For: METHODS AND APPARATUS FOR DYNAMIC
BANDWIDTH ADJUSTMENT

Filing Date: March 19, 2001

Examiner: Unassigned

Art Unit: 2661

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Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

[] under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);

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- ☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a Petition under 37 CFR 1.97(d)(2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Applicant requests that the attached IDS and cited reference(s) _____ be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in a prior application, having U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (required for non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☒ No fee is required for this Information Disclosure Statement.
- ☐ Enclosed is a check in the amount of \$_____.
- ☐ A copy of this Statement is enclosed.

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If a fee is required for this IDS, or if the enclosed fee is not sufficient, the Patent Office is hereby granted permission to charge Deposit Account No. 50-0901 (Chapin & Huang, L.L.C.) for any additional fees required for this IDS.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.

By: _____



Barry W. Chapin, Esq.

U.S.P.T.O. Registration No.: 39,934

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ATTORNEY DOCKET NO.: CIS00-3487

Dated: October 11, 2002

PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION (See several sheets if necessary)				ATTORNEY DOCKET NO. CIS00-3487		APPLICATION SERIAL NO. 09/811,969	
				APPLICANT John G. Waclawsky et al.			
				FILING DATE March 19, 2001		GROUP 2661	
U. S. PATENT DOCUMENTS							
EXAM- INER INI- TIAL	DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
AA	5,815,492	09/29/98	Jean-Marc Berthaud et al.	370	234	02/27/97	
AB	5,953,344	09/14/99	James E. Dail et al.	370	443	12/10/96	
AC	6,011,776	01/04/00	Jean-Marc Berthaud et al.	370	232	01/22/97	
AD	6,021,268	02/01/00	Thomas M. Johnson	395	500.24	08/21/97	
AE	6,122,514	09/19/00	Charles W. Spaur et al.	455	448	01/03/97	
AF							
AG							
AH							
AI							
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AK							
				<div style="border: 1px solid black; padding: 5px; display: inline-block;"> RECEIVED OCT 18 2002 Technology Center 2600 </div>			
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	
AL							
AM							
AN							
AO							
AP							
AQ							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR						
	AS						
	AT						
EXAMINER				DATE CONSIDERED			